

09-21-06

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PTO/SB/21 (09-04)

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<h1 style="text-align: center;">TRANSMITTAL FORM</h1> <p style="text-align: center;">(to be used for all correspondence after initial filing)</p>		Application Number	10/551,365
		Filing Date	March 19, 2004 (Int'l)
		First Named Inventor	Kazuhiro TSUCHIDA
		Art Unit	2627
		Examiner Name	Not Yet Assigned
Total Number of Pages in This Submission	11	Attorney Docket Number	275412003500

## ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Request for Corrected Filing Receipt (2 pages) Copy of Official Filing Receipt showing correction (3 pages) Supplemental Application Data Sheet (5 pages) Return Receipt Postcard
<div style="border: 1px solid black; padding: 5px;">Remarks</div>		

## SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	MORRISON & FOERSTER LLP (Customer No. 25226)		
Signature	<i>Mark E. Schmidt</i>		
Printed name	Mark E. Schmidt		
Date	September 19, 2006	Reg. No.	47,063

Client Ref.: 62066/04R00031/US

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 581425474 US, on the date shown below in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dated: September 19, 2006

Signature:

*Rosemarie Puljic-Salmeron*

(Rosemarie Puljic-Salmeron)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the U.S. Postal Service as Express Mail, Airbill No. EV 581425474 US, on the date shown below in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

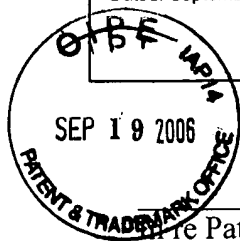
Dated: September 19, 2006 Signature: \_\_\_\_\_

(Rosemarie Puljic-Salmeron)

Docket No.: 275412003500

(PATENT)

Client Ref.: 62066/04R00031/US



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Re Patent Application of:

Kazuhiro TSUCHIDA et al.

Application No.: 10/551,365

Confirmation No.: 3153

Filed (Int'l): March 19, 2004

Art Unit: 2627

For: SPLITTING ELEMENT, LIGHT EMITTER,  
AND OPTICAL PICKUP APPARATUS

Examiner: Not Yet Assigned

**REQUEST FOR CORRECTED FILING RECEIPT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby requests that a corrected Filing Receipt be issued in the above-identified patent application. The official Filing Receipt received by Applicant, a copy of which is attached hereto, has an error in the title of the application.

Under the Title field, please replace "SPLIT BODY, LIGHT EMITTING BODY AND OPTICAL PICKUP DEVICE" with -- SPLITTING ELEMENT, LIGHT EMITTER, AND OPTICAL PICKUP APPARATUS --.

Also enclosed is a Supplemental Application Data Sheet.

Applicant additionally requests that all pertinent U.S. Patent and Trademark Office records relating to the subject application be changed to reflect this correction.

Dated: September 19, 2006

Respectfully submitted,

By Mark E. Schmidt

Mark E. Schmidt

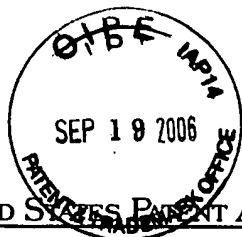
Registration No.: 47,063

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**COPY**

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS
10/551,365	09/28/2005	2627	1960	275412003500	32	22	3

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**RECEIVED****AUG 21 2006**

Morrison & Foerster, LLP  
 Palo Alto

CONFIRMATION NO. 3153

FILING RECEIPT



\*OC000000020044737\*

Date Mailed: 08/16/2006

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please mail to the Commissioner for Patents P.O. Box 1450 Alexandria Va 22313-1450. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

**Applicant(s)**

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**Assignment For Published Patent Application**

SHARP KABUSHIKI KAISHA, Osaka-shi, JAPAN

**Power of Attorney:** The patent practitioners associated with Customer Number 25226.

**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/JP04/03778 03/19/2004

**Foreign Applications**

JAPAN 2003-092110 03/28/2003

If Required, Foreign Filing License Granted: 08/15/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/551,365**

Projected Publication Date: 11/23/2006

**COPY****DOCKETED**

*En*  
*Ruquia*

Non-Publication Request: No

Early Publication Request: No

Title *SPLITTING ELEMENT, LIGHT EMITTER, AND OPTICAL PICKUP APPARATUS*  
~~Split body, light emitting body and optical pickup device~~

Preliminary Class

369

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

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## Supplemental Application Data Sheet

### Application Information

<u>Application number::</u>	<u>10/551,365</u>
<u>Filing Date::</u>	<u>03/19/04</u>
Application Type::	Regular
Subject Matter::	Utility
Suggested Group Art Unit::	<u>Not Yet Assigned 2627</u>
CD-ROM or CD-R?::	None
Sequence submission?::	None
Computer Readable Form (CRF)?::	No
Title::	<u>SPLIT BODY, LIGHT EMITTING BODY AND</u> <u>OPTICAL PICKUP DEVICE</u> <u>SPLITTING ELEMENT, LIGHT EMITTER, AND</u> <u>OPTICAL PICKUP APPARATUS</u>
Attorney Docket Number::	275412003500
Request for Early Publication?::	No
Request for Non-Publication?::	No
Total Drawing Sheets::	32
Small Entity?::	No
Petition included?::	No
Secrecy Order in Parent Appl.?::	No

### Applicant Information

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Country of mailing address:: Japan

#### **Correspondence Information**

Correspondence Customer Number:: 25226

#### **Representative Information**

Representative Customer Number:: 25226

#### **Domestic Priority Information**

Application::	Continuity Type::	Parent Application::	Parent Filing Date::
This Application	National Stage of	PCT/JP2004/003778	03/19/04

#### **Foreign Priority Information**

Country::	Application number::	Filing Date::	Priority Claimed::
Japan	JP2003-092110	03/28/03	Yes

#### **Assignee Information**

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